Grothendieck homotopy theory and polynomial monads

Michael Batanin

Grothendieck construction and internal algebras classifiers

Homotopy theory of algebras

Application: delooping of maping spaces between algebras

Application: Locally constant algebras

Further generalisation

Grothendieck homotopy theory and polynomial monads

Michael Batanin

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Grothendieck homotopy theory toolbox

- 1. Grothendieck construction and slice categories.
- 2. Quillen Theorem A.
- 3. Thomason theorem on homotopy colimits.
- 4. Aspherical functors.
- 5. Exact squares, smooth and proper functors.
- 6. Locally constant presheaves and Cisinski localisation.

GOAL.

Develop an extension of these fundamental constructions replacing Cat by $PolyMon_f(Set)$ and presheaves categories by categories of algebras over finitary polynomial monads.

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Grothendieck construction

Recollection

For a small category A and a functor $F : A \rightarrow Cat$ its Grothendieck construction $\int F$ is a category, whose objects are pairs (a, x) where $x \in F(a)$ and whose morphisms are pairs $(f, \phi) : (a, x) \rightarrow (b, y)$ where $f : a \rightarrow b$ and $\phi : F(f)(x) \rightarrow y$. It comes with a projection $\int F \rightarrow A$ and this correspondence is completed to a 2-functor

$$\int : [A, \mathsf{Cat}] o \mathsf{Cat}/A$$

It has a left 2-adjoint given by slicing. For a functor $u: B \to A$ it associates a presheaf of categories on A given by $a \mapsto u/a$. The slice category u/a has arrows $u(x) \to a$ as objects and commutative triangles as morphisms:



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Grothendieck construction for polynomial monads

Let T be a finitary polynomial monad

$$I \stackrel{s}{\leftarrow} E \stackrel{p}{\rightarrow} B \stackrel{t}{\rightarrow} I$$

and

$$F \in Alg_T(\mathbf{Cat}).$$

The polynomial Grothendieck construction $\int F$ has its set of objects the set of pairs (i, a) where $a \in F(i)$. An operation consists of:

1. An element $b \in B$;

2. For each element $e \in p^{-1}(b)$ an object $a_e \in F(s(e))$;

- 3. An object $y \in F(t(b))$;
- 4. A morphism $f_{(b,\sigma)} : m_{(b,\sigma)}(a_{\sigma(1)}, \ldots, a_{\sigma(k)}) \to y$ in F(t(b)) for each bijection $\sigma : \{1, \ldots, k\} \to p^{-1}(b)$.

This Grothendieck construction comes with a cartesian morphism of polynomial monads

$$p: \int F \to T.$$

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Grothendieck construction for polynomial monads Theorem (B – De Leger) The Grothendieck construction is a 2-functor:

$$\int (-) : Alg_T(\mathsf{Cat}) \to \mathsf{PolyMon}/T.$$

which has a left 2-adjoint:

 $T^{(-)}$: PolyMon/ $T \rightarrow Alg_T(Cat)$.

Example. Let M be the free monoid monad. And $F = (F, \otimes, I)$ be a strict monoidal category (that is an algebra of M in **Cat**). Then $\int F$ has the same objects as F. Multimorphisms are defined as follows:

$$F(a_1 \otimes \ldots \otimes a_n; a).$$

If $T \to M$ is a polynomial monad over M (that is a nonsymmetric operad) then M^T is the strict monoidal category associated to T.

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Internal algebras classifiers

Definition

The value $T^{S} \in Alg_{T}(\mathbf{Cat})$ on a cartesian morphism $\phi: S \to T$ is called the classifier of internal *S*-algebras inside the categorical *T*-algebras.

A cartesian morphism $\phi : S \to T$ of polynomial monads induces a restriction 2-finctor $\Phi^* : Alg_T(\mathbf{Cat}) \to Alg_S(\mathbf{Cat})$.

Definition

For a categorical *T*-algebra *A* the category $Int_S(A)$ of internal *S*-algebras in *A* is the category of lax-morphisms of *S*-algebras

$$1 \rightarrow \Phi^*(A).$$

Example. Let $id : M \to M$ be the identity functor for free monoid monad and let $A \in Alg_M(Cat)$ be a strict monoidal category. Then an internal *M*-algebras in *A*

 $1 \xrightarrow{\text{lax-monoidal}} A$

is the same thing as a monoid in A. and $Int_M(A) = Mon(A)_{A \cap A}$

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Internal algebras classifiers Classifiers as representing objects.

Theorem (B)

An internal algebra classifier T^S of the monad morphism $\phi: S \to T$ is the representing object for the 2-functor

 $Int_S : Alg_T(Cat) \rightarrow Cat.$

Scketch of a proof for $\phi = id$. Let $A \in Alg_T(Cat)$. One observe that the category of internal *T*-algebras in *A* is isomorphic to the category of sections of the Grothendieck construction $\int A \to T$. That is

$$Int_T(A) \cong \mathbf{PolyMon}/T(T, \int A) \cong Alg_T(\mathbf{Cat})(T^T, A).$$

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Internal algebras classifiers Classifiers as codescent objects.

Theorem (B)

 The classifier T^T is the codescent object of a truncated simplicial categorical T-algebras:

$$T1 \xrightarrow[\tau_{\tau}]{} T_{\eta_{1}} \xrightarrow{\mu_{1}} T(T1) \xrightarrow{\tau_{\mu_{1}}} T(T^{2}1)$$

More generally the classifier T^S of the monad morphism φ : S → T is the codescent object of a truncated simplicial categorical T-algebras:

$$T(\phi_{!}(1)) \underbrace{\stackrel{\mu_{1}}{\longleftarrow} T\eta_{1} \stackrel{\mu_{1}}{\longrightarrow}}_{T!} T(\phi_{!}(S1)) \underbrace{\stackrel{\tau_{\mu_{1}}}{\longleftarrow} T(\phi_{!}(S^{2}1))}_{T^{2}!}$$

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Internal algebras classifiers Examples

Functors between small categories. Let $u: S \to T$ be a map of linear polynomial monads that is a functor between small categories. $Alg_T(Cat) = [T, Cat]$. Then the categorical *T*-algebra T^S is a presheaf of slice categories:

$$t\mapsto u/t$$
.

Monoids. Let M be the free monoid monad. A M-algebra in **Cat** is a (strict) monoidal category A. The category of internal M-algebras in A is the category of monoids Mon(A) in A. The classifier M^M is the category of all finite ordinals Δ_+ . The universal property means that

$$Int_{\mathcal{M}}(A) = Mon(A) = MonCat_{strict}(\Delta_{+}, A).$$

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Internal algebras classifiers

Examples

Pointed sets and monoids Let Id_{\bullet} be the monad for pointed sets. The classifier $Id_{\bullet}^{Id_{\bullet}}$ is the pointed arrow category $0 \rightarrow \mathbf{1}$.

There is a canonical morphism $Id_{\bullet} \to M$ of polynomial monads. The classifier $M^{Id_{\bullet}}$ is the monoidal subcategory $\Delta^{inj}_+ \to \Delta_+$ of injective maps. It classifies pointed objects in a monoidal category.

Nonsymmetric operads. Let NOp be the polynomial monad for nonsymmetric operads. Then NOp^{NOp} is a nonsymmetric operad in *Cat* whose objects in degree *n* are planar rooted trees with *n* leaves. The morphisms are generated by contractions of internal edges and introduction of a new vertex of valency 2.

Symmetric operads. Let SOp be the polynomial monad for symmetric operads. The classifier SOp^{SOp} is the categorical operad of all rooted trees.

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Classifiers from homotopy theory point of view

For any polynomial monad T the category of simplicial T-algebras (that is $Alg_T(SSet)$) has a projective model structure transferred from the category of collections SSet/I along the forgetful functor $Alg_T(SSet) \rightarrow SSet/I$.

Theorem (B – Berger)

Let $\phi: S \rightarrow T$ be a cartesian map of polynomial monads.

- 1. The simplicial S-algebra $N(S^S)$ is a cofibrant replacement of the terminal S-algebra 1.
- 2. The left adjoint

 $\phi_{!}: Alg_{S}(SSet) \rightarrow Alg_{T}(SSet)$ to the restriction $\phi^{*}: Alg_{T}(SSet) \rightarrow Alg_{S}(SSet)$ is left Quillen and $\mathbb{L}\phi_{!}(1) \sim N(T^{S}).$ Grothendieck homotopy theory and polynomial monads

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Classical Quillen Theorem A

Recall that a functor between small categories is a Thomason equivalence if it induces a weak equivalence between nerves of categories.

Theorem (Quillen Theorem A)

If in a commutative triangle in Cat



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f induces a Thomason equivalence $h/r \rightarrow g/r$ for any object $r \in R$ then $f : S \rightarrow T$ is a Thomason equivalence.

Quillen Theorem A for polynomial monads

Theorem (B – De Leger)

For a commutative tetrtahedron of cartesian morphisms of polynomial monads



if $R^f : R^S \to R^T$ is a pointwise Thomason equivalence then $P^f : P^S \to P^T$ is a pointwise Thomason equivalence. Remark. Classical Quillen Theorem A can be obtained if we put $\phi : R \to 1$, where 1 is the terminal category. Grothendieck homotopy theory and polynomial monads

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Thomason theorem I

Thomason theorem (1979)

For a presheaf $F : A \rightarrow Cat$ there is a natural weak equivalence:

$$N(\int F) \rightarrow \operatorname{hocolim}_A N(F),$$

where N(F) is a simplicial presheaf N(F)(a) = N(F(a)).

Polynomial version. Let $F \in Alg_A(Cat)$, for a polynomial monad A and let $\phi : A \to B$ be a cartesian polynomial monad morphism. Form a composite $\int F \to A \to B$.

Theorem (B – De Leger)

There is a weak equivalence of simplicial B-algebras:

 $N(B^{\int F}) \to \mathbb{L}\phi_!(N(F)).$

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Twisted Boardman-Vogt Tensor product

Let $F : A \rightarrow$ **PolyMon** be a presheaf of polynomial monads on a small category *A*. Then there is a second version of Grothendieck construction

which we call the twisted Boardman-Vogt tensor product. This is the lax-colimit of F in the 2-category **PolyMon** which can be described very explicitly.

Example 1. If *F* takes values in **Cat** the polynomial monad $\oint F = \int F$ is the classical Grothendieck construction.

Example 2. If F is a constant functor with F(a) = D, then

$$\oint F = A \otimes_{BV} D,$$

.

where the right hand side is the Boardman-Vogt tensor product of A and D as symmetric operads, A = A = A = A

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Thomason theorem II

Let $F : A \to \mathbf{PolyMon}$ be a presheaf of polynomial monads over a polynomial monad D. It means that for each a we have a morphism of polynomial monads: $F(a) \to D$ but also an induced morphism of polynomial monads $\oint F \to D$.

Theorem (B – De Leger)

There is a natural weak equivalence of simplicial D-algebras:

 $N(D^{\oint F}) \rightarrow \operatorname{hocolim}_A N(D^{F(a)}).$

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Aspherical morphisms of polynomial monads

Definition

A cartesian map $f: S \to T$ between polynomial monads is called \mathcal{W}_{∞} -aspherical if T^{S} is \mathcal{W}_{∞} -aspherical, that is $T^{S} \to 1$ is a pointwise Thomason equivalence.

Theorem (B – De Leger)

A cartesian map between polynomial monads $f : S \to T$ is W_{∞} -aspherical if and only if for any simplicial T-algebra X it induces a weak equivalence of derived mapping spaces:

 $Map_{Alg_S}(1, f^*(X)) \rightarrow Map_{Alg_T}(1, X).$

Here 1 means the terminal algebra in the corresponding category of algebras and Map_{Alg} is the derived mapping space in the projective model structure on simplicial algebras.

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Aspherical morphisms of polynomial monads Examples.

- 1. A functor between small categories is \mathcal{W}_{∞} -aspherical if it is left homotopically cofinal in the sense of Hirschhorn. The theorem above is a generalisation of Hirschhorn's theorem stating that a functor is left homotopy cofinal if and only if the restriction along it preserves homotopy limits.
- Let NOp_{**} be a polynomial monad whose algebras are double multiplicative nonsymmeteric operads, i.e. nonsymmeteic operad X equipped with two maps of operads r, l : Ass → X. Let Bimod_• be a polynomial monad whose algebras are Ass-bimodules with a distinguished point in the degree 1 space.

Theorem (B–De Leger)

There are \mathcal{W}_{∞} -aspherical morphisms of polynomial monads

 $f: Bimod_{\bullet_1} \rightarrow NOp_{**}$ and $g: InBimod_{\bullet_0} \rightarrow Bimod_{**}$

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Aspherical diagrams of polynomial monads

Theorem (B – De Leger)

Let $F : A \rightarrow \mathbf{PolyMon}/D$ be a presheaf of polynomial monads over a polynomial monad D. The following conditions are equivalent

- 1. $\oint F \to D$ is a \mathcal{W}_{∞} -aspherical map of polynomial monads;
- 2. For any map of polynomial monads $D \rightarrow R$ a natural map

 $\operatorname{hocolim}_{A}^{\mathcal{W}} N(R^{F(a)}) \to N(R^{D})$

is a weak equivalence of simplicial R-algebras.

Definition

A diagram $F : A \rightarrow \mathbf{PolyMon}/D$ is called \mathcal{W}_{∞} -aspherical if it satisfies the above equivalent conditions.

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Aspherical squares of polynomial monads

A commutative square of polynomial monads



is called $\mathcal{W}_\infty\text{-aspherical}$ if it represents a $\mathcal{W}_\infty\text{-aspherical}$ diagram.

Example. Let a commutative square above be a diagram in **Cat**. Then it is \mathcal{W}_{∞} -aspherical if and only if the square of simplicial sets

$$\begin{array}{c} N(A) \xrightarrow{u} N(B) \\ \downarrow \\ \downarrow \\ N(C) \longrightarrow N(D) \end{array}$$

is a homotopy pushout.

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Delooping of mapping spaces between pointed algebras

Let P be a polynomial monad. Let P_* be a monad for pointed P-algebras that is $1/Alg_P \cong Alg_{P_*}$. Here 1 is the terminal P-algebra. There is a map of monads $u: P \to P_*$ such that the restriction functor $u^*: Alg_{P_*} \to Alg_P$ 'forgets the point'. Let P_{**} be the category of double pointed algebras, that is the category $1 \coprod 1/Alg_P$. We have a pushout of monads

$$\begin{array}{c|c} P & \xrightarrow{u} & P_* \\ \downarrow & \downarrow \\ P_* & \longrightarrow & P_{**} \end{array}$$

The identity $id: P_* \to P_*$ induces a map of monads $U: P_{**} \to P_*$.

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Delooping of mapping spaces between pointed algebras

Theorem (B – De Leger)

Let P be a polynomial monad such that P_* and P_{**} are also polynomial monads and the square from the previous slide is W_{∞} -aspherical. Then for a pointed simplicial P-algebra X there is a weak equivalence of simplicial sets:

 $\Omega Map_{Alg_P}(1, u^*X) \sim Map_{Alg_{P_{**}}}(1, U^*X)$

where $\Omega Map_{Alg_P}(1, u^*X)$ is the loop space with the base point given by the point $1 \to X$ in the P-algebra X.

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Delooping of mapping spaces between pointed algebras

Examples of monads satisfying delooping theorem.

- 1. Monad *NOp* for nonsymmetric operads.
- Monad LMod_O (RMod_O) for left (right) modules over a nonsymmetric operad O (in Set).
- Monad *Bimod*_O of bimodules over a nonsymmetric operad O (in Set).
- 4. The Baez-Dolan plus-construction P^+ for any polynomial monad P.
- 5. Monads for left, right and bimodules over P^+ .
- 6. Polynomial monads over P^+ .

Remark

The monad $NOp = M^+$ and $M = Id^+$.

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Delooping of space of long knots

B–DL delooping theorem together the \mathcal{W}_{∞} -asphericity of the morphisms $f: Bimod_{\bullet_1} \rightarrow NOp_{**}$ and $g: InBimod_{\bullet_0} \rightarrow Bimod_{**}$ (and a result of Sinha about a totalisation of Kontsevich operad) immediately imply the following spectacular theorem for the space of 'long knots' conjectured by Kontsevich and proved independently by Dwyer-Hess and Turchin.

Let us denote $\overline{Emb}(\mathbb{R}^1,\mathbb{R}^n)$ the homotopy fiber of the map

 $Emb(\mathbb{R}^1,\mathbb{R}^n) \to Imm(\mathbb{R}^1,\mathbb{R}^n).$

Theorem (Dwyer – Hess, Turchin)

For n > 3 there is a weak equivalence of spaces

 $\overline{\textit{Emb}}(\mathbb{R}^1,\mathbb{R}^n)\sim \Omega^2\textit{Map}_{\rm SOp}(\mathcal{D}_1,\mathcal{D}_n),$

where D_n is the little n-disks operad and the mapping space is taken in the category of symmetric operads.

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$\operatorname{\mathcal{W}-Locally}$ constant presheaves

Let \mathcal{W} be a Grothendieck fundamental localiser. A small category A is called \mathcal{W} -aspherical if $A \to 1$ is belongs to \mathcal{W} . Let A be a small category and let \mathbb{V} be a model category. Let $Ho[A, \mathbb{V}]$ be the localisation of the category of covariant presheaves $[A, \mathbb{V}]$ with respect to levelwise weak equivalences.

Definition (Cisinski)

A presheaf $F : A \to \mathbb{V}$, is called \mathcal{W} -locally constant if for any \mathcal{W} -aspherical small category A' and any functor $u : A' \to A$ the presheaf $u^*(F) : A' \to \mathbb{V}$ is isomorphic to a constant presheaf in $Ho[A', \mathbb{V}]$

Example. A presheaf $F : A \to \mathbb{V}$ is \mathcal{W}_{∞} -locally constant if and only if for any $f : a \to b$ in A the value F(f) is a weak equivalence in \mathbb{V} .

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Cisinski localisation

Theorem (Cisinski, B- White)

Let \mathcal{W} be a proper fundamental localiser and \mathbb{V} a combinatorial model category. Then:

- For A ∈ Cat there exists a left Bousfield localisation of the projective model structure [A, V]^W_{proj} such that its local objects are levelwise fibrant and W-locally constant presheaves.
- For a W-weak equivalence u : A → B between small categories, the restriction functor

 $u^*:[B,\mathbb{V}]^{\mathcal{W}}_{proj}\to [A,\mathbb{V}]^{\mathcal{W}}_{proj}$

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is a right Quillen equivalence.

Remark. Last statement is even if and only if for $\mathcal{W}=\mathcal{W}_\infty$ (Cisinski).

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$\mathcal W\text{-}\mathsf{locally}$ constant algebras

Let P be a polynomial monad equipped with an identity on objects morphism $\eta: A \to P$, where A is a small category.

Definition

A *P*-algebra *X* is called *W*-locally constant if its underlying presheaf $\eta^*(X) : A \to \mathbb{V}$ is a *W*-locally constant presheaf.

Examples. 1. Let P be a small category, $\eta : A \to P$ is its subcategory. Then \mathcal{W}_{∞} -locally constant P-algebras are covariant presheaves $F : P \to \mathbb{V}$ such $\eta^* F(f)$ is a weak equivalence for each $f \in A$.

2. \mathcal{W}_{∞} -locally constant *n*-operads are higher braided operads. Here we consider an inclusion $Q_n^{op} \to Op_n$, where Q_n is the category of *n*-ordinals and their quasibijections and Op_n is the polynomial monad for *n*-operads. The nerve $N(Q_n^{op})$ has the homotopy type of the configuration space of unordered points in \mathbb{R}^n . Grothendieck homotopy theory and polynomial monads

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Localisation of algebras

Theorem (B – White)

Let $\mathcal W$ be a proper fundamental localiser then

- There exists a left (semimodel) Bousfield localisation of Alg^W_P(𝒱) of the projective model structure whose fibrant objects are exactly fibrant W-locally constant P-algebras.
- This structure coincides with the transferred (semimodel) structure along the restriction functor η^{*} : Alg_P(𝔅) → [A, 𝔅]^W_{proj} if this transferred structure exists.
- 3. If $(f,g) : (P,A) \to (Q,B)$ is a Beck-Chevalley morphism of polynomial monads, such that (2) is satisfied and g is a W-equivalence then $f_1 : Alg_P^{\mathcal{W}}(\mathbb{V}) \to Alg_Q^{\mathcal{W}}(\mathbb{V})$ is a left Quillen equivalence.

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Stabilisation for locally constant *n*-operads

Theorem (B – White)

Let \mathbb{V} be a combinatorial symmetric monoidal model category with the cofibrant tensor unit. For all $n \geq 3$ and $2 \leq k + 1 \leq n < m \leq \infty$ the left Quillen functors

$$sym_n: \operatorname{Op}_n^{\mathcal{W}_k}(\mathbb{V}) \to \operatorname{SOp}(\mathbb{V}), \ \Sigma_!: \operatorname{Op}_n^{\mathcal{W}_k}(\mathbb{V}) \to \operatorname{Op}_m^{\mathcal{W}_k}(\mathbb{V})$$

are left Quillen equivalences. For $1 \le k \le \infty$ the functor

 $brd: \operatorname{Op}_2^{\mathcal{W}_k}(\mathbb{V}) \to \operatorname{BOp}(\mathbb{V})$

is a left Quillen equivalence. Here $BOp(\mathbb{V})$ is the model category of braided operads in \mathbb{V} .

Remark This stabilisation theorem is a consequence of the fact that the morphism of polynomial monads $Op_n \rightarrow SOp$ is W_{n-2} -aspherical. Baez-Dolan stabilization hypothesis for higher categories and classical Freudenthal stabilisation Theorem follow from the above theorem immediately.

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Further generalisation

Finatary polynomial monads in \boldsymbol{Set} are equivalent to $\Sigma\text{-free}$ operads.

How to extend this theory to all operads?

Answer. Use Mark Weber approach to operad! An operad in Weber's theory is a map $P \rightarrow Sm$ of polynomial monads in **Cat**, where Sm is the monad for strict symmetric monoidal categories.

There exists a corresponding Grothendieck construction with its left adjoint also called internal algebra classifier. For example, the classifier Sm^{Sm} is the symmetric monoidal category of finite sets **FinSet** (with coproduct as the tensor product).

Remark. More generally, the classifier Sm^P has a canonical **Feynman category** structure in the sense of Kaufman and Ward. Moreover, up to equivalence all Feynman categories are such classifiers (B – Kock – Weber).

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Even more interesting is to extend this theory to the analytic monads as developed by Gepner, Kock and Haugseng or higher operads and operadic categories.

THANK YOU!

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